

ARNOLD & PORTER

SEARCHED
Leslie L. Jacobs
Leslie_Jacobs@aporter.com

202.942.6718
202.942.5999 Fax

555 Twelfth Street, NW
Washington, DC 20004-1206

December 11, 2001



Attn: **BOX MISSING PARTS**

Commissioner for Patents
Washington, DC 20231

Re: U.S. Utility Patent Application No. 09/912,408
Filed: July 26, 2001
Inventors: CASSIN *et al.*
For: Devices, Methods and a System
for Implementing a Media Content
Delivery and Playback Scheme
Dkt. No.: 15235.007

Sir:

Transmitted herewith for appropriate action by the U.S. Patent and Trademark Office (PTO) are the following documents:

1. Response to Notice to File Missing Parts of Application (in duplicate);
2. Copy of Notice to File Missing Parts of Application – Filing Date Granted;
3. Original Combined Declaration and Power of Attorney for Patent Application, executed by Lionel Cassin, Ronnen Miller, Luke A. Renn, Donald A. Pellegrino and David J. Acuesta (4 pages);
4. Form PTO/SB/17 Fee Transmittal for \$4,638.00;
5. Check No. 200797 in the amount of \$4,638.00 to cover: \$740.00 Filing Fee for Patent Application (37 C.F.R. § 1.16); \$3,768.00 fee for Extra Claims (37 C.F.R. § 1.16); \$130.00 Surcharge for Late Filing of Declaration (37 C.F.R. § 1.16);
6. Petition for Extension of Time Under 37 C.F.R. § 1.136 (in duplicate);
7. Form PTO/SB/17 Fee Transmittal for \$110.00;

ARNOLD & PORTER

U.S. Appln. No. 09/912,408
Atty. Docket No.: 15235.007
Page 2

8. Check No. 146024 in the amount of \$110.00 to cover surcharge for late filing of Combined Declaration and Power of Attorney for Patent Application; and
9. a Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Sincerely,



Leslie L. Jacobs, Jr. (Reg. No. 40,659)

Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Lionel CASSIN *et al.*

Art Unit: 2641

Appln. No.: 09/912,408

Examiner: To Be Assigned

Filed: July 26, 2001

Atty. Docket: 15235.007

For: Devices, Methods and a System
for Implementing a Media
Content Delivery and Playback
Scheme

Response to Notice to File Missing Parts of Application

Box Missing Parts

Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted dated September 24, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

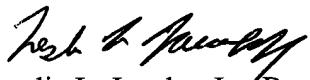
1. Copy of the Notice to File Missing Parts of Application – Filing Date Granted;
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3. a Form PTO/SB/17 Fee Transmittal for Check No. 200797 the amount of \$4,638.00;
4. Check No. 200797 in the amount of \$4,638.00 to cover: \$ 740.00 Filing Fee for Patent Application (37 C.F.R. § 1.16); \$ 3,768.00 fee for Extra Claims (37 C.F.R. § 1.16) \$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16);
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The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees required under 37 C.F.R. §§ 1.16 or 1.17, or credit any overpayment, to our Deposit Account No. 50-1824, referencing client matter number 15235.007. A duplicate copy of this Response is enclosed.

Respectfully submitted,


Leslie L. Jacobs, Jr. (Reg. No. 40,659)

Date: December 11, 2001

ARNOLD & PORTER
555 Twelfth Street, N.W.
Washington, D.C. 20004-1206
(202) 942-5000 (telephone)
(202) 942-5999 (facsimile)

FEE TRANSMITTAL for FY 2001

DEC 11 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 4,638.00)

Complete if Known	
Application Number	09/912,408
Filing Date	July 26, 2001
First Named Inventor	Lionel Cassin
Examiner Name	To Be Assigned
Group / Art Unit	2641
Attorney Docket No.	15235.007

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	50-1824
Deposit Account Name	Arnold and Porter
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
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122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
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169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 130.00)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Leslie L. Jacobs, Jr.	Registration No. Attorney/Agent)	40,659	Telephone 202-942-5000
Signature			Date	December 11, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

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U.S. PATENT & TRADEMARK OFFICE

TOTAL AMOUNT OF PAYMENT (\$ 110.00)

Complete if Known	
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Filing Date	July 26, 2001
First Named Inventor	Lionel Cassin
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ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="3"></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>110</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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Signature			Date	December 11, 2001

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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,408	07/26/2001	Lionel Cassin	15235.007

28381
 ARNOLD & PORTER
 IP DOCKETING DEPARTMENT; RM 1126(b)
 555 12TH STREET, N.W.
 WASHINGTON, DC 20004-1206

FORMALITIES LETTER



OC00000006783307

Date Mailed: 09/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/13/2001 EABUBAKI 00000017 09912408

01 FC:101	740.00	OP
02 FC:102	1428.00	OP
03 FC:103	2340.00	OP
04 FC:105	130.00	OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3700.
 - \$2340 for 130 total claims over 20.
 - \$1360 for 17 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 4540.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY